

Budget



RATIONALE FOR FUNDING

The objective of the Unified Planning Work Program (UPWP) process is to provide a rational basis for the development, review, approval, execution and control of a comprehensive and coordinated transportation planning program for the OKI Region.

A number of federal, state and local organizations contribute funds to the program. Local agencies are also recipients of these funds and have the responsibility of completing the described program activities. The UPWP ties the various resources, needs, interests, and responsibilities into a coordinated annual work program.

The first phase of the process is a determination what work elements are to be included in the UPWP. This is based upon (1) new federal and state directions and directives, (2) continuing requirements, and (3) local needs, interests and priorities.

Following an evaluation of which element best serves the needs of the region, while at the same time fulfilling agency's objectives, a comparison of joint interest and the benefits that will be derived is made. Based on the output of this process, a funding table is prepared for inclusion in the UPWP. The funding agencies, in their review of the work program, make a determination of the appropriateness of the funding level and source of funds. Comments or requests for changes are then incorporated into a revised UPWP. Throughout the process, the basic premise of maintaining a regional, continuing, cooperative and comprehensive planning process prevails.

The FY 2008 UPWP reflects selectivity in the allocation of funding sources to program activities. This procedure and the subsequent matching of funding sources to program activities reflect consideration of:

- Amount of resources available from each agency;
- Primary interest area of each funding agency;
- Potential for delays in funding by each funding agency;
- Primary interest and type of each recipient agency; and
- Desirability of maintaining a single funding arrangement for each grouping of work activities.

In summary, while it is administratively necessary and advantageous to selectively match funding sources with work activities or groupings, the basic philosophical intent is to maintain a single integrated comprehensive and coordinated transportation planning program that jointly serves the needs of local, state and federal interests.

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2008 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost
601 Short Range (PL/FTA)								
601	Short Range Planning							
601.1	FY 2008 CPG	PL/FTA	114,635			6,350	191,015	312,000
601.1	¹ CPG Carryover (FY 2007)	PL/FTA	33,005				54,995	88,000
602 TIP (PL/FTA)								
602	Tranportation Improvement Program							
602.1	FY 2008 CPG	PL/FTA	39,080		11,000	3,800	65,120	119,000
602.1	FY 2007 STP Carryover	STP	13,127				21,873	35,000
605 Surveillance (PL/FTA)								
605	Surviellance							
605.1	FY 2008 CPG	PL/FTA	439,590		10,000	64,450	732,489	1,246,529
605.1	¹ CPG Carryover (FY 2007)	PL/FTA	140,269				233,731	374,000
610 Long Range Planning								
610	Long Range							
610.1	System Management (CPG)							
610.1	FY 2008 CPG	PL/FTA	294,434	110,000		14,950	490,616	910,000
610.1	¹ CPG Carryover (FY 2007)	PL/FTA	86,637				144,363	231,000
610.4	Land Use Planning (STP)							
610.4	FY 2008 STP (ODOT PID #76556)	STP	124,184			24,419	206,927	355,530
610.4	FY 2007 STP Carryover	STP	19,503				32,497	52,000
625 Services (PL/FTA)								
625	Participation Plan							
625.2	FY 2008 CPG	PL/FTA	46,400			1,285	77,315	125,000
625.2	¹ CPG Carryover (FY 2007)	PL/FTA	13,877				23,123	37,000
665 Special Studies								
665.4	Regional Ozone Reduction (CMAQ) (FY08 ODOT PID #76559)							
	FY 2008 CMAQ (KY CMAQ/SNK)	CMAQ	64,367	5,000	280,000	36,326	107,256	492,949
	FY 2007 CMAQ Carryover	CMAQ	27,810		309,850		46,340	384,000
667 Commuter Assistance								
667.1	Rideshare FY2008 (CMAQ - OH) (FY08 ODOT PID #76563)	CMAQ	27,141		244,413	38,750	45,226	355,530
	Rideshare FY2007 (CMAQ Carryover)	Carryover	25,879		30,000		43,121	99,000

¹ FY07 ODOT CPG Funds to be partnered with FY08 KYTC PL/FTA funds

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2008 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost
674 Transit								
674.1	JARC / New Freedom FY2008	FTA	34,941			800	58,222	93,963
674.1	JARC / New Freedom FY07 carryover	FTA	33,642				56,058	89,700
684 Ohio Exclusive								
None programmed in FY08								
685 Indiana Exclusive								
685.1	Dearborn County (INDOT) FY2008	CPG	3,907			400	6,509	10,816
685.2	Dearborn County (INDOT - LU)	STP	7,351			400	12,249	20,000
685.2	Dearborn County (INDOT-TIP&LU) c/o	STP	4,651				7,749	12,400
686 KY Exclusive								
686.1	I-471 Corridor Study - carryover	CMAQ	18,213	319,352		2,086	30,349	370,000
686.3	Safety and operational Studies	PL/FTA	29,013			300	48,345	77,658
697 Trans Annual Summary (PL/FTA)								
697.1	Transportation Annual Summary FY 2008 CPG	PL/FTA	3,561			2,505	5,934	12,000
710 Water Quality								
710	Ohio EPA Program							
710.6	604 (b)	OEPA	18,512			5,970	30,846	55,328
Section 319								
710.3	Millcreek Head Waters Project	OEPA	21,580	65,000		763,660	35,960	886,200
710.2	Great Miami Drinking Water Protection	OEPA	936			34,505	1,559	37,000
710.1	Local Funded Activities	OKI	17,412			9,575	29,013	56,000
720 Air Quality (PL/FTA)								
720.1	Mobile Source Emissions Planning							
720.1	FY 2008 CPG	PL/FTA	12,939			1,500	21,561	36,000
720.1	FY 2007 CPG Carryover	PL/FTA	3,751				6,249	10,000
800 Regional Planning Activities								
800.1	Regional Planning Activities	OKI	29,038			2,575	48,387	80,000

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2008 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost
674 Mass Transit Exclusive								
Metro								
TANK								
Hamilton								
CTC								
		See Table 6, Page B-17						
FY 2008 Totals		<u>1,749,384</u>	<u>499,352</u>	<u>864,263</u>	<u>21,000</u>	<u>1,014,606</u>	<u>2,914,998</u>	<u>7,063,603</u>

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2008 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) TABLES BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost
----- FY 2008 Activities FY 2007 Carryover Activities (OH Only) with FY2008 KYTC PL/FTA JARC/New Freedom (FTA) Ohio Exclusive Activities Kentucky Exclusive Activities Indiana Exclusive -----							
601 Short Range (PL/FTA)							
601 Short Range Planning							
601.1 FY 2008 CPG	114,635				6,350	191,015	312,000
601.1 ¹ CPG Carryover (FY 2007)	33,005					54,995	88,000
602 TIP (PL/FTA)							
602 Transportation Improvement Program							
602.1 FY 2008 CPG	39,080			11,000	3,800	65,120	119,000
602.1 FY 2007 STP Carryover	13,127					21,873	35,000
605 Surveillance (PL/FTA)							
605 Surveillance							
605.1 FY 2008 CPG	439,590			10,000	64,450	732,489	1,246,529
605.1 ¹ CPG Carryover (FY 2007)	140,269					233,731	374,000
610 Long Range Planning							
610 Long Range							
610.1 System Management (CPG)							
610.1 FY 2008 CPG	294,434	110,000			14,950	490,616	910,000
610.1 ¹ CPG Carryover (FY 2007)	86,637					144,363	231,000
625 Services (PL/FTA)							
625 Participation Plan							
625.2 FY 2008 CPG	46,400				1,285	77,315	125,000
625.2 ¹ CPG Carryover (FY 2007)	13,877					23,123	37,000
697 Trans Annual Summary (PL/FTA)							
697.1 Transportation Annual Summary							
697.1 FY 2008 CPG	3,561				2,505	5,934	12,000
720 Air Quality (PL/FTA)							
720.1 Mobile Source Emissions Planning							
720.1 FY 2008 CPG	12,939				1,500	21,561	36,000
720.1 ¹ FY 2007 CPG Carryover	3,751					6,249	10,000
Sub-Total - Regional Totals	1,241,304	110,000		21,000	94,840	2,068,385	3,535,529

¹ FY07 ODOT CPG Funds to be partnered with FY08 KYTC PL/FTA funds

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2008 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) TABLES BY COST OBJECTIVE AND FUNDING SOURCE**

Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
100.0000%	100.0000%										
100.0000%	80.0000%	10.0000%	10.0000%	100.0000%	61.4034%	3.8377%	18.5966%	16.1623%	100.0000%	80.0000%	20.0000%

84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
263,269	210,615	26,327	26,327	48,731	29,923	1,870	9,062	7,876			
84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
74,255	59,404	7,426	7,426	13,745	8,440	527	2,556	2,221			

84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
100,413	80,331	10,041	10,041	18,587	11,413	713	3,456	3,004			
84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
29,533	23,627	2,953	2,953	5,467	3,357	210	1,017	884			

84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
1,051,835	841,468	105,183	105,183	194,694	119,549	7,472	36,207	31,467			
84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
315,585	252,468	31,559	31,559	58,415	35,869	2,242	10,863	9,441			

84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
767,868	614,294	76,787	76,787	142,132	87,274	5,455	26,432	22,972			
84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
194,920	155,936	19,492	19,492	36,080	22,154	1,385	6,710	5,831			

84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
105,476	84,381	10,548	10,548	19,524	11,988	749	3,631	3,155			
84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
31,221	24,977	3,122	3,122	5,779	3,549	222	1,075	934			

84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
10,126	8,101	1,013	1,013	1,874	1,151	72	349	303			

84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
30,377	24,302	3,038	3,038	5,623	3,453	216	1,046	909			
84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%			
8,438	6,750	844	844	1,562	959	60	290	252			

2,983,318	2,386,654	298,332	298,332	552,211	339,077	21,192	102,692	89,250			

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY2008 UNIFIED PLANNING WORK PROGRAM
 TABLE 2 CPG (PL/FTA) TABLES BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost
FY 2008 Activities FY 2007 Carryover Activities (OH Only) with FY2008 KYTC PL/FTA JARC/New Freedom (FTA) Ohio Exclusive Activities Kentucky Exclusive Activities Indiana Exclusive							
684 Ohio Exclusive							
None programmed in FY08							
685 Indiana Exclusive							
685.1	Dearborn County (INDOT) FY2008	3,907			400	6,509	10,816
686 KY Exclusive							
686.3	Safety and operational Studies	29,013			300	48,345	77,658
674 Transit							
674.1	JARC / New Freedom FY2008	34,941			800	58,222	93,963
674.1	¹ JARC / New Freedom FY07 carryover	33,642				56,058	89,700
TOTAL PL/FTA/STATE FUNDED		1,342,807	110,000		21,000	96,340	2,237,519
Anticipated FY08 funding carried to FY09 - not funded by KYTC until FY09							302,159
KYTC FY08 Funding							

SOURCE OF FUNDS

FY2008 CPG - ODOT
 FY2007 CPG Carryover - ODOT
 FY2008 FTA
 FY2007 FTA Carryover
 FY2008 PL - KYTC
 FY2008 FTA - KYTC
 FY2008 CPG - INDOT

¹ FY07 ODOT CPG Funds to be partnered with FY08 KYTC PL/FTA funds

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY2008 UNIFIED PLANNING WORK PROGRAM
 TABLE 2 CPG (PL/FTA) TABLES BY COST OBJECTIVE AND FUNDING SOURCE**

Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local	
84.3811%	80.0000%	10.0000%	10.0000%	15.6189%	61.4034%	3.8377%	18.5966%	16.1623%				
84.3811%	80.0000%	10.0000%	10.0000%	included w/FY08	61.4034%	3.8377%	18.5966%	16.1623%				
100.0000%	100.0000%											
100.0000%	80.0000%	10.0000%	10.0000%	100.0000%	61.4034%	3.8377%	18.5966%	16.1623%	100.0000%	80.0000%	20.0000%	
										100.0000%	80.0000%	20.0000%
										10,816	8,653	2,163
				100.0000%	61.4034%	3.8377%	18.5966%	16.1623%				
				77,658	47,685	2,980	14,442	12,551				
100.0000%	100.0000%											
93,963	93,963											
100.0000%	100.0000%											
89,700	89,700											
3,166,981	2,570,317	298,332	298,332	629,869	386,761	24,173	117,134	101,801	10,816	8,653	2,163	
				(47,194)	(4,526)	(283)	(1,371)	(1,191)				
				582,675	382,235	23,890	115,763	100,610				
2,329,364	1,863,491	232,936	232,936									
653,954	523,163	65,395	65,395									
93,963	93,963											
89,700	89,700											
				447,228	357,782	22,361		67,084				
				135,447			108,358	27,089				
3,166,981	2,570,317	298,332	298,332	582,675	357,782	22,361	108,358	94,173	10,816	8,653	2,163	
								10,816	8,653	2,163		

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2008 UNIFIED PLANNING WORK PROGRAM
TABLE 3 - OTHER TRANSPORTATION BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element		Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost
FY 2008 STP Funded Items FY 2007 STP Carryover FY 2008 CMAQ Funded Items FY 2007 CMAQ Carryover FY 2008 Regional Ozone FY 2007 Regional Ozone Carryover FY 2008 Rideshare Activities FY 2007 Rideshare Carryover FY 2008 & 2007 JARC FTA Indiana Exclusive								
610 Long Range Planning								
610.4	Land Use Planning (STP)							
610.4	FY 2008 STP (ODOT PID #76556)	STP	124,184			24,419	206,927	355,530
610.4	FY 2007 STP Carryover	STP	19,503				32,497	52,000
665 Special Studies								
665.4	Regional Ozone Reduction (CMAQ) (FY08 ODOT PID #76559)							
	FY 2008 CMAQ (KY CMAQ/SNK)	CMAQ	64,367	5,000	280,000	36,326	107,256	492,949
	FY2008 KY SNK - Personnel, Fringe & Inx SNK FY 2007 CMAQ Carryover		27,810		309,850		46,340	384,000
667 Commuter Assistance								
667.1	Rideshare FY2008 (CMAQ - OH) (FY08 ODOT PID #76563)	CMAQ	27,141		244,413	38,750	45,226	355,530
	Rideshare FY2007 (CMAQ Carryover)		25,879		30,000		43,121	99,000
684 Ohio Exclusive								
None programmed in FY08								
685 Indiana Exclusive								
685.2	Dearborn County (INDOT - LU)	STP	7,351			400	12,249	20,000
685.2	Dearborn County (INDOT-TIP&LU) c/o	STP	4,651				7,749	12,400
686 Kentucky Exclusive								
686.1	I-471 Corridor Study - carryover	CMAQ	18,213	319,352		2,086	30,349	370,000
TOTALS			319,099	324,352	884,263	101,981	531,714	2,141,409

SOURCE OF FUNDS		Total
FY 2008 STP FUNDS	-----	402,336
FY2007 CARRYOVER STP FUNDS	-----	64,400
FY 2008 CMAQ	-----	821,673
FY2007 CARRYOVER CMAQ	-----	483,000
NO. KENTUCKY STUDY - FY06 CMAQ	-----	370,000
		<u>2,141,409</u>

Note (1): Ohio will be asked to utilize certain toll credit as assistance to OKI for certain STP and CMAQ funded elements.
 Note (2): FY07 ODOT STP funds to be partnered with FY08 KYTC SNK funds - activities are ongoing.
 Note (3): KYTC CMAQ funding can only be used for direct expenses in FY08 per Amanda Ratliff
 Note (4): KYTC SNK funding being used for personnel, fringe, and indirect expenses in FY08